

# PATENT

1046 U.S. PTO  
09/855894  
05/15/01

Express Mail Label No.: EL 842426745 US

Case Docket No.: 2001 P 08586 US

Date: May 15, 2001

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
Box PATENT APPLICATION

J1046 U.S. PTO  
09/855894  
05/15/01

Transmitted herewith for filing is the patent application of:

Inventor(s): Xian J. Ning

For: A Single RIE Process for MIMCAP Top and Bottom Plates

This application includes:

13 pages: specification and claims  
3 sheets of drawings,        formal/  Informal  
       photographs

Also enclosed is:

       An Assignment of the invention to Assignee(s):  
x  Infineon Technologies North America Corp.  
       International Business Machines Corporation  
       A certified copy of        application  
x Declaration and Power of Attorney  
       Information Disclosure Statement pursuant to 37 CFR 1.56  
       Form PTO-1449  
       Form PTO-1595  
x Post Cards (2)

The filing fee has been calculated as shown below:

| FOR:                                 | (Col. 1)<br>NO. FILED | (Col. 2)<br>NO. EXTRA RATE | OTHER THAN A SMALL<br>ENTITY FEE |                          |
|--------------------------------------|-----------------------|----------------------------|----------------------------------|--------------------------|
| BASIC FEE                            | XXXXXXX               | XXXXXXX                    | XXXXX                            | \$710                    |
| TOTAL CLAIMS                         | 17 - 20 =             |                            | X \$18 =                         | \$0                      |
| INDEP CLAIMS                         | 3 - 3 =               |                            | X \$80 =                         | \$0                      |
| * MULTIPLE DEPENDENT CLAIM PRESENTED |                       |                            | + 270 =                          | \$0                      |
| ASSIGNMENT(S)                        | # <u>1</u>            |                            | X \$40 =                         | \$40                     |
|                                      |                       |                            |                                  | <b>TOTAL    \$750.00</b> |

Infineon Technologies North America Corp.  
c/o Siemens Corporation  
Intellectual Property Department  
186 Wood Avenue South  
Iselin, NJ 08830  
Tel. (732) 321-3150  
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A fee of 40.00 to cover recordation of the Assignment(s).

Please charge my Deposit Account No. 19-2179 in the amount of \$750.00 A  
duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated  
with this communication or credit any overpayment to Deposit Account No. 19-2179. A  
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Any additional filing fees required under 37 CFR 1.16.

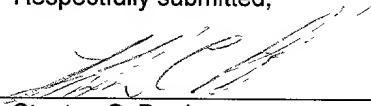
Any patent application processing fees under 37 CFR 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the  
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Any patent application processing fees under 37 CFR 1.17

Any filing fees under 37 CFR 1.16 for presentation of extra claims

Respectfully submitted,

  
\_\_\_\_\_  
Stanton C. Braden  
Registration No. 32,556  
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Date: May 11, 2001



DS-116-101  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Xian J. Ning

Art Unit: TBD

Serial No.: TBD

Examiner: TBD

Filed: May 15, 2001

Docket: 2001 P 08586 US

For: A Single RIE Process for MIMCAP Top and Bottom Plates

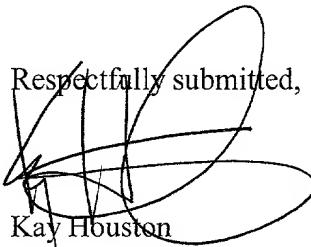
**Certificate of Mailing via Express Mail**

**EXPRESS MAIL mailing label number: EL 842426745 US**

Date of Deposit: May 15, 2001

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX: Patent, Assistant Commissioner for Patents, Washington, DC 20231:

- Transmittal Letter Original and 1 Duplicate (2 pages)
- Complete Copy of Patent Application (16 pages), to include:
  - Specification (13 pages)
  - Drawings (3 pages)
- Declaration and Power of Attorney (3 pages)
- Assignment Recordation Cover Sheet (1 page)
- Assignment (2 pages)
- Return Postcards (2)

Respectfully submitted,  
  
Kay Houston

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